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RECEIVED

3201 Beechleaf Court, Suite 600 Raleigh, NC 27604-1062 Tel: 919-862-2200 Fax: 919-862-2260

5 DEC 2007

TELECOPY

Legal Staff International Division

PLEASE DELIVER AS SOON AS POSSIBLE

Date:	Decemb	per 5, 2007
Recipient:		Company:
PCT Legal Office		United States Patent and Trademark Office
Fax Number:		Voice Number:
(571) 273-0459	<u>)                                    </u>	(571) 272-4300
Sender:		
Leslie T. Henry	7	(919) 862-2229
Message:		
Application No.:	10/576,943	Confirmation No. 1890
Filed:	December 1, 2006	Group Art Unit: 1641
For:	USE OF ANTAGONIS	TANTI-CD40 ANTIBODIES FOR TREATMENT OF
_	AUTOIMMUINE AND	INFLAMMATORY DISEASES

Pursuant to our telephone inquiry of this morning, as requested, we are faxing our request for a corrected filing receipt that was previously filed via EFS Wcb on 8/31/07. Please find attached:

1) Filing Receipt Dated 9/21/07 (3 pages)

- 2) Request for Corrected Filing Receipt and supporting documents originally filed on 8/31/07 via EFS Web Filing (7 pages)
- 3) Electronic Acknowledgement Receipt dated 8/31/07 (2 pages)

4) Clean copy of original Filing Receipt dated 8/24/07 (3 pages)

Specifically, in the section "Domestic priority data as claimed by the applicant," in lines 3 and 4 of the domestic priority data, an asterisk (\*) appears immediately following the filing dates 11/26/03 (line 3) and 4/27/04 (line 4) with a further comment provided on line 5 stating "Data provided by applicant is not consistent with PTO Records." However, Applicants submit that this priority data is correct in view of the attached supporting documents and respectfully request a corrected filing receipt reflecting the deletion of the asterisk (\*) and accompanying comment.

Respectfully submitted

hastie T. He Leslie T. Henry (45,714) Tel: (919) 862-2229

Number of Pages: (including cover page)

IF NOT RECEIVED PROPERLY, PLEASE NOTIFY US IMMEDIATELY AT 862-2221.

USER CODE: GRAVD	REQUESTED BY:	Diann Gravius
CLIENT/MATTER: 035784/309941	OPERATOR:	



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMESSIONER FOR PATENTS PO. Hot LES Alexandra, Viginia 22315-1450

APPLICATION NUMBER	FILING or 971(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS	l
10/576,943	12/01/2006	1641	1680	035784/309941	19	5	l

**CONFIRMATION NO. 1890** 

826 ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC28280-4000

**FILING RECEIPT** 

Date Mailed: 09/21/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this FIIIng Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Li Long, Emeryville, CA; Mohammad Luqman, Danville, CA; Asha Yabannavar, Lafayette, CA; Isabel Zaror, Emeryville, CA;

Assignment For Published Patent Application

Chiron Corporation, Emeryville, CA

Power of Attorney: The patent practitioners associated with Customer Number 00826

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/36957 11/04/2004 which claims benefit of 60/517,337 11/04/2003 and claims benefit of 60/525,579 11/26/2003 and claims benefit of 60/565,710 04/27/2004 (\*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted: 08/22/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/576,943

Projected Publication Date: 12/27/2007

Non-Publication Request: No

Early Publication Request: No

Title

Use of Antagonist Anti-CD40 Antibodies for Treatment of Autoimmune and Inflammatory Diseases and Organ Transplant Rejection

#### **Preliminary Class**

424

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

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#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filling date of the application. If 6 months has lapsed from the filling date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Attorney's Docket No. 035784/309941

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Long et al.

10/576,943

Confirmation No.: 1890

Appl. No.:

Group Art Unit: 1636

Filed: December 1, 2006 For:

USE OF ANTAGONIST ANTI-CD40 ANTIBODIES FOR TREATMENT OF

AUTOIMMUNE AND INFLAMMATORY DISEASES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST FOR CORRECTED FILING RECEIPT

Sir:

In reviewing the Filing Receipt for the above-referenced application, Applicants note that errors appear as noted on the enclosed copy of the Filing Receipt. Specifically:

- the second inventor's last name "Lugman" should read as -- Luqman --; and I)
- in the title, "Anti-Cd40" should read as -- Anti-CD40 --. 2)

Further, in the section entitled "Domestic Priority data as claimed by applicant," in lines 3 and 4 of the recorded priority data, an asterisk (\*) appears immediately following the filing dates 11/26/2003 (line 3) and 04/27/2004 (line 4), with a further comment provided on line 5, stating "(\*) Data provided by applicant is not consistent with PTO records." However, Applicants respectfully submit that this priority data is correct in view of the following documents attached hereto:

a copy of the PCT Notification Concerning Submission or Transmittal of Priority (A) Document for corresponding PCT/US04/036957, acknowledging receipt from the U.S. Patent and Trademark Office of the U.S. priority documents having the identical Application Nos. and filing dates as listed on lines 3 and 4 of the priority data recorded on the official Filing Receipt;

- (B) a copy of page 2 of the Declaration by the inventors for the above-referenced application, filed with the Response to Notification of Missing Requirements on December 1, 2006, showing the inventors' claim for priority to the identical Application Nos. and filing dates as listed on lines 3 and 4 of the priority data recorded on the official Filing Receipt; and
- (C) a copy of page 4 of the Application Data Sheet for the above-referenced application, filed at national-phase entry on April 21, 2006, showing a claim for priority to the identical Application Nos. and filing dates as listed on lines 3 and 4 of the priority data recorded on the official Filing Receipt.

Accordingly, Applicants respectfully submit that the data provided by Applicants is consistent with the PTO records. Should the Patent Office disagree, Applicants respectfully request clarification as to what data within these two lines is not consistent with PTO records.

In summary, Applicants request that a Corrected Filing Receipt addressing the errors in items 1) and 2) above be issued, and that the Corrected Filing Receipt reflect the deletion of the the asterisk (\*) immediately following the filing dates listed on lines 3 and 4 of the priority data and the deletion of the comment "(\*) Data provided by applicant is not consistent with PTO records."

Respectfully submitted,

uslin T. Hum

Leslie T. Henry

Registration No. 45,714

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Raleigh Office (919) 862-2200
Fax Raleigh Office (919) 862-2260

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Page 1 of 3



alston & bitd llb United States Patent and Trademark Office

United States Department of Commerce Parison States Patent and Trademark Office Patents P.D. Soc 1450
Alexandra Vapor 22013-1450 AUG 2

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TOT CLMS

IND CLMS

APPL NO. 10/576.943 FILING OR 371(c) DATE 12/01/2006

ART UNIT 1636

FIL FEE REC'D 1680

035784/309941

19

5

826

ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000

**CONFIRMATION NO. 1890** 

**FILING RECEIPT** 

OC000000025507731\*

Date Mailed: 08/24/2007

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#### Applicant(s)

Li Long, Emeryville, CA; Mohammad <del>Lugman</del>, Danville, CA; Asha Yabannavar, Lafayette, CA; Isabel Zaror, Emeryville, CA;

Power of Attorney: The patent practitioners associated with Customer Number 00826.

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This application is a 371 of PCT/US04/36957 11/04/2004 which claims benefit of 60/517,337 11/04/2003 and claims benefit of 60/525,579 11/26/2003 \* and claims benefit of 60/565,710 04/27/2004 \* (\*)Data provided by applicant is not consistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted: 08/22/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/576,943

Projected Publication Date: 11/29/2007

Page 2 of 3

Non-Publication Request: No

Early Publication Request: No

Title

C.D. Mc

Use of Antagonist Anti-Ca40 Antibodies for Treatment of Autoimmune and Inflammatory Diseases and Organ Transplant Rejection

Preliminary Class

435

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

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LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

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# A

#### PATENT COOPERATION TREATY

PCT/US04/036957

## **PCT**

NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

To

ALEXANDER, Lisa, E. Chiron Corporation Intellectual Property Department P.O. Box 8097
Emeryville, CA 94662-8097
ETATS-UNIS D'AMERIQUE

From the INTERNATIONAL BUREAU



Date of mailing (day/month/year) 03 March 2005 (03.03.2005)	
Applicant's or agent's file reference PP23725.001	IMPORTANT NOTIFICATION
International application No. PCT/US04/036957	International filing date (day/month/year)  04 November 2004 (04.11.2004)
International publication date (day/month/year)	Priority date (day/month/year) 04 November 2003 (04.11.2003)
Applicant	

CHIRON CORPORATION et al

- By means of this Form, which replaces any previously issued notification concerning submission or transmittal of priority documents, the applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to all carlier application(s) whose priority is claimed. Unless otherwise indicated by the letters "NR", in the right-hand column or by an asterisk appearing next to a date of receipt, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- 2. (If applicable) The letters "NR" appearing in the right-hand column denote a priority document which, on the date of mailing of this. Form, had not yet been received by the International Bureau under Rule 17.1(a) or (b). Where, under Rule 17.1(a), the priority document must be submitted by the applicant to the receiving Office or the International Bureau, but the applicant fails to submit the priority document within the applicable time limit under that Rule, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the
- 3. (If applicable) An asterisk (\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b) (the priority document was received after the time limit prescribed in Rule 17.1(a) or the request to prepare and transmit the priority document was submitted to the receiving Office after the applicable time limit under Rule 17.1(b)). Even though the priority document was furnished in compliance with Rule 17.1(a) or (b), the International Bureau will nevertheless transmit a copy of the document to the Rule 17.1(c) provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the

	Priority application No.	Country or regional Office or PCT receiving Office	Date of receipt of priority document
04 November 2003 (04.11,2003)	60/517,337	US	02 February 2005 (02.02.2005)
26 November 2003 (26.11.2003)	60/525,579		02 February 2005 (02.02.2005)
27 April 2004 (27.04.2004)	60/565,710		02 February 2005 (02.02.2005)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Bellour Veronique
1 Pacsimile No ±41 22 740 14 25	Facsimile No. +41 22 338 87 40 Telephone No. +41 22 338 8602

Inventor's signature

Citizenship\_



PATENT Atty. Docket No. 23725.0002

C	PY	
	4 11	

Application Status Serial No. Filing Date Patented, Pending, Abandoned 60/517,337 4 November 2003 Abandoned 60/525,579 26 November 2003 Abandoned 60/565,710 27 April 2004 bogooned I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full name of first inventor Li Long Inventor's signature Post Office Address 4560 Horton Street, Emeryville, California Full name of second inventor Mohammad Lugman

Post Office Address 4560 Horton Street, Emeryville, California 94608





## **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/US2004/036957	11/04/04

# Foreign Priority Information

Country::	Application Number::	Filing Date::	Priority Claimed::
US	60/517,337	11/4/03	YES
ŲS	60/525,579	11/26/03	YES
ÜŞ	60/565,710	4/27/04	YES

# **Assignee Information**

Assignee name:: Chiron Corporation

Street of mailing address:: 4560 Horton Street

City of mailing address:: Emeryville

State or Province of mailing address:: CA

Country of mailing address:: US

Postal or Zip Code of mailing address:: 94608-2916

RTA01/2203821v1

Electronic Ac	knowledgement Receipt
EFS ID:	2148990
Application Number:	10576943
International Application Number:	
Confirmation Number:	1890
Title of Invention:	Use of Antagonist Anti-Cd40 Antibodies for Treatment of Autoimmune and Inflammatory Diseases and Organ Transplant Rejection
First Named Inventor/Applicant Name:	Li Long
Customer Number:	826
Filer:	Ryan W. Cagle/Diann Gravius
Filer Authorized By:	Ryaп W. Cagle
Attorney Docket Number:	035784/309941
Receipt Date:	31-AUG-2007
Filing Date:	01-DEC-2006
Time Stamp:	15:53:11
Application Type:	U.S. National Stage under 35 USC 371

# Payment information:

	Submitted with Payment	no
4		

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Corrected Filing Receipt	309941-RequestForCorrecte dFilingReceipt.PDF	6/82304695276323b0002c73b2l37350	no	7
Warnings:			76335688		

Information:

Total Files Size (in bytes): 381087

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMERCE FOR PATENTS POR PATENTS Administrative 22313-1440

**FILING RECEIPT** 

APPLICATION NUMBER	FILING or 3/1(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY, DOCKET, NO	TOT CLAIMS	IND CLAIMS	1
10/576,943	12/01/2006	1636	1680	035784/309941	19	5	j

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Power of Attorney: The patent practitioners associated with Customer Number 00826

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Projected Publication Date: 11/29/2007

Non-Publication Request: No

Early Publication Request: No

Title

Use of Antagonist Anti-Cd40 Antibodies for Treatment of Autoimmune and Inflammatory Diseases and Organ Transplant Rejection

**Preliminary Class** 

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Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

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